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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TDAN	ICRAITT A I		Application Number	10/049,8	71
TRANSMITTAL FORM be used for all correspondence after initial filing)			Filing Date	October 3	3, 2003
			First Named Inventor	Yanina R	OZENBERG et al.
be used for all correspondence after initial filing)		Group Art Unit	1632		
**			Examiner Name	Unassign	ed
Total Number of Pages in This Submission 5		Attorney Docket Numbe	r 38147-00	039	
		ENCLO	OSURES (check all that appl	()	
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Amendment / Res	sponse	Licensi	ng-related Papers		I Communication to Group I Notice, Brief, Reply Brief)
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Affidavits/dec	laration(s)		n to Convert to a onal Application	☐ Status	Letter
Extension of Time	Extension of Time Request		Power of Attorney, Revocation Change of Correspondence Address		Enclosure(s)
			☐ Terminal Disclaimer		B/08A w/ 39 references
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Response to Miss					
Response to Miss CFR 1.52 or 1.53	sing Parts under 37				
	SIGNA	TURE OF	APPLICANT, ATTORNEY	, OR AGENT	
Firm or Paul M. Booth, Reg. Individual name		g. No. 40,244	1		
Signature Law J. W.		with	for Paul M Br	oth (Res	No. 51.019)
Date June 25, 2004				<i></i>	-,
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

New Attorney Docket No.: 38147-0039

licant:

Yanina ROZENBERG et al.

Confirmation No.: 2364

Appl. No.:

10/049,871 (371 of PCT/US00/22619)

Group Art Unit: 1632

Filing Date:

October 3, 2003

Examiner: To Be Assigned

Title:

TARGETED ARTIFICIAL GENE DELIVERY

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56 and 37 CFR §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

To comply with Applicants' duty of disclosure pursuant to 37 C.F.R. §1.56 and §1.97, Applicants submit herewith on attached Form PTO/SB/08A, a listing of documents known to Applicants. A copy of each of the listed documents is being submitted to comply with the provisions of 37 C.F.R. §§1.97-1.99.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or is considered to be material to patentability as defined in 37 C.F.R. §1.56(b). Applicants do not waive any rights to take any action that would be appropriate to antedate or otherwise remove as a competent reference any document that is determined to be a *prima facie* prior art reference against the claims of the present application.

This Information Disclosure Statement is being filed in compliance with 37 CFR §1.97(b) prior to the mailing date of the first Office Action. Therefore, Applicants believe that

U.S. Serial No. 10/049,871

New Attorney Docket No.: 38147-0039

no fee is required at this time. However, the Commissioner is hereby authorized to charge any deficiency or to credit any overpayment to Deposit Account No. 08-1641.

Applicants respectfully request that the listed documents be considered by the Examiner and made of record in the present application and that an initialed copy of Form PTO/SB/08A be returned in accordance with M.P.E.P. §609.

Respectfully submitted,

By:

Paul M. Booth

Attorney for Applicant Registration No. 40,244

HELLER EHRMAN WHITE &

Date: June 21, 2004

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Substitute for form 1449/PTO				Complete if Known		
				Application Number	10/049,871	
	INFORMATION	N DISCLOS	URE	Filing Date	October 3, 2003	
=	STATEMENT BY APPLICANT			First Named Inventor	Yanina Rozenberg et al.	
씨비	(Use as many she	eets as necessary)		Art Unit	1632	
3				Examiner Name	To Be Assigned	
Street	1	of	2	Attorney Docket Number	38147-0039 (271010-473)	

U.S. PATENT DOCUMENTS					
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code ²	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	1.	US-5,631,018	05-20-1997	Zalipsky et al.	
	2.	US-5,661,022	08-26-1997	Kotani et al.	
	3.	US-5,665,577	09-09-1997	Sodroski et al.	
	4.	US-5,686,279	11-11-1997	Finer et al.	
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	6.	US-5,780,221	07-14-1998	Schumacher et al.	
	7.	US-5,916,803	06-29-1999	Sedlacek et al.	
	8.				
	9.				
	10.				

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ¹ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶		
-	1.	WO 91/19798	12-26-1991	Sodroski <i>et al</i> .				
	2.	WO 97/12622	04-10-1997	Verma et al.	`			
	3.	WO 97/40854	11-06-1997	Summerton et al.				
	4.	WO 98/12314	03-26-1998	Trono et al.				
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Examiner	Date	
Signature	Considered	

^{*}Examiner: Initial reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

38147-0039 (271010-473)

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449/PTO Complete if Known **Application Number** 10/049,871 INFORMATION DISCLOSURE Filing Date October 3, 2003 First Named Inventor Yanina Rozenberg et al. STATEMENT BY APPLICANT (Use as many sheets as necessary) Art Unit 1632 **Examiner Name** To Be Assigned

Attorney Docket Number

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of

		NON-PATENT LITERATURE DOCUMENTS				
Examiner						
Initials*	No.1	(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher,				
	1	city and/or country where published.				
	1.	ANDERSON, <i>Nature</i> , 392 (6679 Suppl): 25-30 (1998).				
	2.	BOUSSIF et al., Proc. Natl. Acad. Sci. USA, 92:7297-7301 (1995).				
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		Long Circulating Liposomes: Old Drugs, New Therapeutics, pp. 287-295, Ed. by				
		Woodle and Storm, Springer-Verlag and Landes Bioscence (1998).				
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	27.	ZANTA et al., Proc. Natl. Acad. Sci. USA, 96:91-96 (1999).	-			
,	28.	ZELPHATI et al., Gene Therapy, 5:1272-1282 (1998).				

E	Examiner	Date	
S	Signature	Considered	

^{*}Examiner: Initial reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application. Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.